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PTO/SB/29 (12/97)

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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	7853-234	Total Pages	239
	First Named Inventor or Application Identifier			
	Busfield et al.			
	Express Mail Label No.	EL168 275 522US		

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form Submit an original, and a duplicate for fee processing) 2. <input checked="" type="checkbox"/> Specification [Total Pages <u>161</u>] (preferred arrangement set forth below) -Descriptive title of the Invention -Cross Reference to Related Applications -Statement Regarding Fed sponsored R&D -Reference to Microfiche Appendix -Background of the Invention -Brief Summary of the Invention -Brief Description of the Drawings (if filed) -Detailed Description of the Invention (including drawings, if filed) -Claim(s) -Abstract of the Disclosure <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>42</u>] <input checked="" type="checkbox"/> Oath or Declaration (unexecuted) [Total Sheets <u>03</u>] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below] i. <input type="checkbox"/> DELETION OF INVENTORS(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33 (b). 5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	6. <input type="checkbox"/> Microfiche Computer Program (Appendix) 7. <input checked="" type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input checked="" type="checkbox"/> Computer Readable Copy b. <input checked="" type="checkbox"/> Paper Copy (identical to computer copy) c. <input checked="" type="checkbox"/> Statement verifying identity of above copies
ACCOMPANYING APPLICATION PARTS	
8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee) 10. <input type="checkbox"/> English Translation Document (if applicable) 11. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations 12. <input type="checkbox"/> Preliminary Amendment 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 14. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior application, Statement(s) Status still proper and desired. 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Other:	

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No. 09/610,118, filed June 30, 2000

18. CORRESPONDENCE ADDRESS

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PENNIE & EDMONDS LLP
COUNSELLORS AT LAW
 1155 Avenue of the Americas
 New York, N.Y. 10036-2711
 (212) 790-9090

ATTORNEY DOCKET NO. 7853-234Date: April 9, 2001

Assistant Commissioner for Patents
 Box PATENT APPLICATION
 Washington, D.C. 20231

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): Samantha J. Busfield; Jean-Luc Villeval; Martine
 Jandrot-Perrus; William Vainchenker; Davinder S.
 Gill; Ming D. Qian; and Gillian Kingsbury

Executed on: (unexecuted)

Title of Invention: **GLYCOPROTEIN VI AND USES THEREOF****PATENT APPLICATION FEE VALUE**

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	142	-20	122	\$18.00 each	\$ 2,196.00
Independent	13	-3	10	\$78.00 each	\$ 800.00
Minimum Fee					\$ 710.00
Multiple Dependency Fee If Applicable (\$260.00)					\$ 270.00
Total					\$ 3,976.00
50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern (a verified statement as to the applicant's status is attached)					- \$ 0.00
Total Filing Fee					\$ 3,976.00

- ☐ Priority of application no. filed on in is claimed under 35 U.S.C. § 119.
☐ The certified copy of the priority application has been filed in application no. filed
☐ Amend the specification by inserting before the first line the following sentence: This is a continuation-in-part
 of application no. filed.

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is
 enclosed.

Respectfully submitted,

By: *Jennifer J. Chheda*
 Reg No. 46,617

Date: April 9, 2001

Laura A. Coruzzi 30,742
 Laura A. Coruzzi (Reg. No.)
 PENNIE & EDMONDS LLP

Enclosure

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Busfield, et al.

Application No.: To be Assigned

Group Art Unit: To Be Assigned

Filed: On Even Date Herewith

Examiner: To Be Assigned

For: GLYCOPROTEIN VI AND USES
THEREOF

Attorney Docket No.: 7853-234



TRANSMITTAL OF SEQUENCE LISTING UNDER 37 C.F.R. § 1.821

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

In accordance with 37 C.F.R. § 1.821, Applicants submit herewith a Sequence Listing in paper and computer readable form pursuant to 37 C.F.R. §§ 1.821(c) and (e).

I hereby state that the content of the paper and computer readable copies of the Substitute Sequence Listing, submitted in accordance with 37 C.F.R. §§ 1.821(c) and (e), respectively, are the same. I hereby state that the submission herein under 37 C.F. R. § 1.821(g) does not include new matter.

Respectfully submitted,

By: *Jennifer J. Chheda*
Reg No. 46,617

Date: April 9, 2001

Laura A. Coruzzi 30,742
Laura Coruzzi (Reg. No.)

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1155 Avenue of the Americas
New York, New York 10036-2711
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Enclosures

BY HAND DELIVERY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Busfield et al.

Application No.: 09/829,495

Group Art Unit: To Be Assigned

Filed: April 9, 2001

Examiner: To Be Assigned

For: GLYCOPROTEIN VI AND USES THEREOF

Attorney Docket No.: 7853234

**COMMUNICATION REGARDING THE
SEQUENCE LISTING FILED WITH THE APPLICATION**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In a teleconference on April 24, 2002, Ms. Dillard in the Office Of Initial Patent Examination at the United States Patent and Trademark Office ("USPTO") informed attorneys for Applicants that the USPTO was unable to find the computer readable copy of the Sequence Listing filed on April 9, 2001 with the above-identified application and requested that Applicants send another computer readable copy of the Sequence Listing to the USPTO. In response, Applicants have enclosed herewith a courtesy copy of the computer readable Sequence Listing filed on April 9, 2001 in the USPTO using the "Express Mail Post Office to Addressee" service under Express Mail Label No. EL 168 275 522 US. Applicants respectfully request that this communication and the computer readable copy of the Sequence Listing be entered into the file of this application. Ms. Dillard is invited to contact the undersigned with any questions concerning the foregoing.

It is believed that there is no fee required for filing this communication since this filing arises as a result of the USPTO losing the computer readable copy of the Sequence Listing filed on April 9, 2001. However, if payment of a fee is required, please charge the

required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Date: April 26, 2002

Laura A. Coruzzi 30,742
Laura A. Coruzzi (Reg. No.)

PENNIE & EDMONDS LLP
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New York, New York 10036-2711
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Enclosures

By: Jennifer J. Chheda
Reg No. 46,617